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PATENT
Docket No. 29554/04002

UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of Davies et al.

U.S. Serial No.: 10/677,998

Filed: Oct. 2, 2003

For: **CONTAINER FOR SECURE TRANSPORT
OF CARGO**

Examiner: Not yet assigned

Art Unit: 3727

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450**INFORMATION DISCLOSURE STATEMENT**

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. §1.97(g)), an admission that the information cited is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)), or that no other material information exists.

The identification of any information herein is not intended to be, and should not be understood as being, an admission that such information, in fact, constitutes "prior art" within the meaning of applicable law. The "prior art" status of any information is a matter to be resolved during prosecution.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- ☐ (a) Copy of English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- ☐ (b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents". (See attached International Search Report).

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:

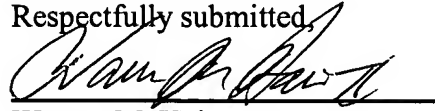
- ☐ (a) Within 3 months of the filing date or date of entry into the National Stage.
- ☒ (b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. **03-0172** for consideration of this Statement.
- ☐ (c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - ☐ (1) The required certification is given below, or
 - ☐ (2) Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. **03-0172**.
- ☐ (d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
 - ☐ (1) Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. **03-0172**.

4. Certification (if applicable)

- ☐ (a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- ☐ (b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. **03-0172**.

Respectfully submitted,



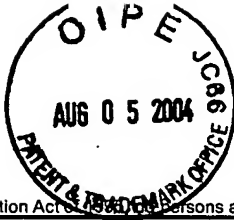
Warren M. Haines II
Attorney for Applicants
Reg. No. 40,632

Certificate of Mailing

I hereby certify that this *INFORMATION DISCLOSURE STATEMENT* is being deposited on this, the 31st day of August, 2004, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Joyce Ford
Print Name Of Person Signing This Certificate

Joyce Ford
SIGNATURE



PTO/SB/08A (02-03)

Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/677,998
Filing Date	Oct. 2, 2003
First Named Inventor	Davies et al.
Art Unit	3727
Examiner Name	
Attorney Docket Number	29554/04002

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-			
		US- 5,009,564	04-23-1991	Lutz et al.	
		US- 5,143,508	09-01-1992	Lutz et al.	
		US- 5,314,290	04-24-1994	Lutz et al.	
		US-			
		US- 5,577,449	11-26-1996	Kleiner et al.	
		US- 5,706,964	01-13-1998	Podd et al.	
		US- 6,206,623 B1	03-27-2001	Podd	
		US- 6,357,979 B1	03-19-2002	Montagna et al.	
		US- 5,984,601	11-16-1999	Jevaney et al.	
		US- 6,419,301 B1	07-16-2002	Tuerk	
		US- 6,510,807 B2	01-28-2003	Gottfried	
		US- 6,546,887 B2	04-15-2003	Kennedy	
		US- 4,080,906	03-28-1978	Brown	
		US- 4,880,342	11-14-1989	Pradovic	
		US- 6,527,487 B2	03-04-2003	Adams	
		US- 6,086,299	07-11-2000	Kanczuzewski	
		US- 5,219,251	06-15-1993	Kanczuzewski	
		US- 6,057,779	04-02-2000	Bates	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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